

# Uniform Transfers to Minors Act—(UTMA) ACCOUNT AGREEMENT



Credit Union of  
Southern California  
BUILDING BETTER LIVES™

\_\_\_\_\_ as custodian for  
Custodian's First, Middle, and Last Name

\_\_\_\_\_  
Minor's First, Middle, and Last Name

## Minor's Information

\_\_\_\_\_  
First Name Middle Name Last Name

\_\_\_\_\_  
Social Security Number Date of Birth

\_\_\_\_\_  
Physical Address City State Zip

## Custodian's Information

\_\_\_\_\_  
First Name Middle Name Last Name

\_\_\_\_\_  
Social Security Number Mother's Maiden Name Date of Birth

\_\_\_\_\_  
Physical Address City State Zip

\_\_\_\_\_  
Mailing Address (if different) City State Zip

\_\_\_\_\_  
Home Phone Work Phone Cell Phone Email

\_\_\_\_\_  
DL/ID Number ID Description Issue Date Expiration Date

\_\_\_\_\_  
Current Employer Occupation

Under the CALIFORNIA UNIFORM TRANSFERS TO MINORS ACT, Prob. Code § 3900, et seq, hereby applies for a share account in Credit Union of Southern California (CU SoCal). CU SoCal is hereby authorized to act without further inquiry in accordance with writings bearing my signature. You are authorized to supply any endorsement for me on any check or other instrument tendered for this account, and you are hereby relieved of any liability in connection with collection of such items which are handled by you without negligence, and you shall not be liable for the acts of your agents, sub-agents or others or for any casualty.

I hereby apply for a Uniform Transfer to Minor Act (UTMA) Membership in Credit Union of Southern California (CU SoCal). By signing below, I acknowledge that I have received a copy of CU SoCal's Truth-in-Savings Disclosure and agree to be bound by its by-laws and any amendments thereto. I acknowledge that I have received a copy of the current Schedule of Fees. I authorize CU SoCal to obtain credit reports in connection with this account. I authorize CU SoCal to open other accounts for me in person, as instructed in writing, or per my telephone request.

\_\_\_\_\_  
Custodian's Signature Date

\_\_\_\_\_  
Membership Account Number

# Uniform Transfers to Minors Act—(UTMA) ACCOUNT AGREEMENT



## Payer's Request for Taxpayer Identification Number

### PART I. TAXPAYER IDENTIFICATION NUMBER (TIN).

The TIN provided below must match the name given to avoid backup withholding. For individuals, this is the social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, refer to the W-9 Form, Specific Instructions, Part I. For other entities, it is your employer identification number (EIN). If you do not have this number, see instructions "How to get a TIN" in the W-9 Form, Specific Instructions. NOTE: If the account is in more than one name, see the chart on the W-9 Form, Specific Instructions.

Name of Minor \_\_\_\_\_ Minor's SSN or TIN \_\_\_\_\_

Name of Custodian for Minor \_\_\_\_\_ Custodian's SSN or TIN \_\_\_\_\_

### PART II. CERTIFICATION Under penalties of perjury, I certify that:

1. The numbers shown on this form are the correct taxpayer identification numbers (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (defined in the W-9 Form, general Instructions).

### CERTIFICATION INSTRUCTIONS.

You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See Certification Instructions in the W-9 Form.)

X \_\_\_\_\_  
Custodian's Signature \_\_\_\_\_ Date \_\_\_\_\_

## Transfer Agreement and Designation of Successor Custodian

I \_\_\_\_\_ (transferor), hereby deliver \$ \_\_\_\_\_ to

Credit Union of Southern California (CU SoCal to a share account in the name of

\_\_\_\_\_ (custodian), as custodian for

\_\_\_\_\_ (name of minor) under the

### CALIFORNIA UNIFORM TRANSFER TO MINORS ACT (UTMA), Prob. Code § 3900, et seq.

This transfer of money to the named minor, which transfer shall include all earnings thereon and any future additions thereto, is irrevocable and is made in accordance with and incorporates the provisions of the California Uniform Transfers to Minors Act, Prob. Code § 3900, et seq, as amended, by this reference.

Further, I hereby designate the person(s) named below to be SUCCESSOR CUSTODIAN(S), in the following order, if the custodian originally designated is unable, declines, is ineligible to serve, resigns, dies, becomes incapacitated or is removed. In such an event, the first designated Successor Custodian is unable or unwilling to serve for any reason, the second designated Successor Custodian shall execute the new Transfer Agreement as Custodian.

Successor Custodian (1)	First Name	Middle	Last	Date of Birth
-------------------------	------------	--------	------	---------------

Successor Custodian (2)	First Name	Middle	Last	Date of Birth
-------------------------	------------	--------	------	---------------

X \_\_\_\_\_  
Signature of Transferor (Custodian)

## Internal Use Only

Date \_\_\_\_\_

Membership approved by (print name) \_\_\_\_\_ Signature \_\_\_\_\_ User ID \_\_\_\_\_

ID Issue Date \_\_\_\_\_ Exp. Date \_\_\_\_\_ Membership Officer Initials \_\_\_\_\_ New Account Number \_\_\_\_\_